



Three Family Type IIB Orientifold String Vacua with Non-Abelian Wilson Lines

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ABSTRACT: We address the implementation of non-Abelian Wilson lines in D=4 N=1 Type IIB orientifold constructions. We present an explicit three-family example with the gauge group $(U(4) \times U(2) \times SU(2) \times SU(2))^2 \times (U(6) \times Sp(4))^2$ and give the particle spectrum and the trilinear superpotential. Emphasizing the new subtleties associated with the introduction of non-Abelian Wilson lines, we show that the Abelian gauge anomalies are cancelled by the Green-Schwarz-type mechanism, and calculate the Fayet-Iliopoulos terms and gauge coupling corrections. The analysis thus sets a stage for further investigations of the phenomenological implications of this model.

1. Introduction

Four-dimensional N=1 supersymmetric Type IIB orientifolds [1, 2, 3, 4] provide a domain of perturbative string vacua whose study of physics implications is still at the early stages of investigation [5, 6]. In particular, there is the need to further explore the existence of string vacua with quasi-realistic features, i.e., those with gauge group close to that of the standard model and massless spectra with three families ¹. In order to reduce the gauge group structure, one mechanism involves the introduction of Wilson lines [4, 11]. (Other mechanisms involve for example the blowing-up procedure [12] or the introduction of non-zero NS-NS two-form background fields [13].)

In particular, the introduction of Wilson lines that do not commute with the discrete orbifold symmetry seems to be a promising mechanism to reduce the gauge group structure and lead us a step closer to the construction of quasi-realistic models [11]. We address the consistent implementation of the symmetry actions of both the discrete orientifold group and the Wilson line actions. In addition, constraints arising from tadpole cancellation as well as the requirement that the massless sector is free of non-Abelian anomalies, severely restrict the allowed solutions.

We focus on a particular three family $Z_3 \times Z_2 \times Z_2$ Type IIB orientifold model [11] (a descendant of the Z_3 -orientifold [1], the first N=1 supersymmetric Type IIB orientifold with three families and the gauge group $U(12) \times SO(8)$). We address the possible Wilson lines, which necessarily commute with the Z_3 but not all the Z_2 orbifold actions. We find that the consistency conditions (the absence of tadpoles and non-Abelian gauge anomalies) allow for a very limited number of possible models. We focus on the (only) example with gauge group structure that encompasses that of the standard model and present the massless spectrum and the trilinear superpotential² (see Section II). In Section III, we explicitly demonstrate that the Abelian gauge anomalies of the massless spectrum are cancelled by a Green-Schwarz-type mechanism (proposed in [16] and confirmed in [18]); in particular, we explore the additional subtleties that are associated with non-Abelian Wilson lines. In Section IV we derive the form of the Fayet-Iliopoulos (FI) terms as well as the corrections to the gauge kinetic functions due to the blowing-up moduli. In Section V we summarize results and point out possible generalizations to address a general set-up for a consistent construction of Type IIB orientifold solutions with non-Abelian Wilson lines. In Appendix A we derive the

¹Recent explorations involve the construction of Type IIB orientifolds with branes *and* anti-branes which break supersymmetry, while keeping the models stable (tachyon-free [7, 8, 9]). A number of quasi-realistic models were recently constructed in [10].

²The presented study builds on earlier work [11]. However, we find a different gauge group and massless spectrum.

massless matter spectrum and in Appendix B the moduli of Z_2 twisted sectors.

2. Orientifold constructions

2.1 $D = 4, N = 1$ orientifolds

We compactify Type IIB theories on a six-torus T^6 and mod out a discrete symmetry group G_1 and the world-sheet parity operation Ω , which could be accompanied by another discrete symmetry G_2 , i.e. the orientifold group is $G = G_1 + \Omega G_2$. Closure requires $\Omega g \Omega g' \in G_1$ for $g, g' \in G_2$. In the following we always have $G_1 = G_2$.

The compactified tori are described by complex coordinates $X_i, i = 1, 2, 3$. The action of an orbifold group Z_N on the compactified dimensions can be summarized in a twist vector $v = (v_1, v_2, v_3)$,

$$g : X_i \rightarrow e^{2i\pi v_i} X_i, \quad (2.1)$$

where the v_i 's are multiples of $\frac{1}{N}$. In our conventions, $\mathcal{N} = 1$ supersymmetry requires that $v_1 + v_2 + v_3 = 1$.

Since the Ω projection relates left- and right-movers, it gives rise to open strings [14]. Tadpole cancellation then requires the inclusion of an even number of $D9$ branes and additional discrete symmetries in the orientifold group may require the presence of multiple sets of $D5$ branes for consistency.

An open string state can be written as $|\Psi, ij\rangle$ where Ψ denotes the world-sheet state and i, j the Chan-Paton states of the left and right end points on a $D9$ or $D5$ brane. The elements $g \in G_1$ act on open string states as follows:

$$g : |\Psi, ij\rangle \rightarrow (\gamma_g)_{ii'} |g \cdot \Psi, i'j'\rangle (\gamma_g^{-1})_{j'j}. \quad (2.2)$$

Similarly, the elements of ΩG_1 act as

$$\Omega g : |\Psi, ij\rangle \rightarrow (\gamma_{\Omega g})_{ii'} |\Omega g \cdot \Psi, j'i'\rangle (\gamma_{\Omega g}^{-1})_{j'j}, \quad (2.3)$$

where we have defined $\gamma_{\Omega g} = \gamma_g \gamma_\Omega$, up to a phase, in accordance with the usual rules for multiplication of group elements. Note, that Ω exchanges the Chan-Paton indices. Hence, $(\Omega g)^2$ acts as

$$(\Omega g)^2 : |\Psi, ij\rangle \rightarrow [\gamma_{\Omega g} (\gamma_{\Omega g}^{-1})^T]_{ii'} |(\Omega g)^2 \cdot \Psi, i'j'\rangle [\gamma_{\Omega g}^T \gamma_{\Omega g}^{-1}]_{j'j}. \quad (2.4)$$

Since the γ_g form a projective representation of the orientifold group, consistency with group multiplication implies some conditions on the γ_g . Consider the $G_1 = G_2 = Z_N$ case. If g is the generator of Z_N , we must have

$$\gamma_g^N = \pm 1. \quad (2.5)$$

Analogously, $\Omega^2 = 1$ implies that

$$\gamma_\Omega = \pm \gamma_\Omega^T. \quad (2.6)$$

Further, due to the closure relation $\Omega g \Omega g' \in G_1$ for $g, g' \in G_2$, one must have

$$(\gamma_g^k)^* = \pm \gamma_\Omega^* \gamma_g^k \gamma_\Omega. \quad (2.7)$$

It turns out that the tadpoles cancel, if we choose the plus sign for $D9$ branes and the minus sign for $D5$ branes [4].

In the $D9$ brane sector γ_Ω is symmetric and can be chosen real, while it is anti-symmetric in the $D5$ brane sectors and can be chosen imaginary there. Hence, in a suitable basis

$$\gamma_{\Omega,9} = \begin{pmatrix} 0 & \mathbb{1}_{16} \\ \mathbb{1}_{16} & 0 \end{pmatrix} \quad \text{and} \quad \gamma_{\Omega,5} = \begin{pmatrix} 0 & -i\mathbb{1}_{16} \\ i\mathbb{1}_{16} & 0 \end{pmatrix}, \quad (2.8)$$

where the subscript 9 or 5 denotes the brane sector in which these matrices are acting. Hence, in both the $D9$ and $D5$ brane sectors eq. (2.7) yields

$$(\gamma_g^k)^* = \gamma_\Omega \gamma_g^k \gamma_\Omega. \quad (2.9)$$

Further, finiteness of string loop diagrams yields tadpole cancellation conditions which constrain the traces of γ_g matrices. For example, in the case of Z_3 [1]

$$Tr(\gamma_{Z_3}) = -4. \quad (2.10)$$

Open string states, whose Chan-Paton matrices will be denoted by $\lambda^{(i)}$, $i = 0, \dots, 3$ in the following, give rise to space-time gauge bosons ($i = 0$) and matter states ($i = 1, 2, 3$). Gauge bosons in the $D9$ brane sector arise from open strings beginning and ending on $D9$ branes (the matter states will be discussed in Appendix A). Invariance of these states under the action of the orientifold group requires

$$\lambda^{(0)} = -\gamma_{\Omega,9} \lambda^{(0)T} \gamma_{\Omega,9}^{-1} \quad \text{and} \quad \lambda^{(0)} = \gamma_{g,9} \lambda^{(0)} \gamma_{g,9}^{-1}. \quad (2.11)$$

With eq. (2.8) the first constraint implies that the $\lambda^{(0)}$ are $SO(32)$ generators, while the constraints from the $\gamma_{g,9}$ will further reduce the group.

Similarly, in the $D5$ brane sectors the Chan-Paton matrices of the gauge bosons satisfy the following constraints:

$$\lambda^{(0)} = -\gamma_{\Omega,5} \lambda^{(0)T} \gamma_{\Omega,5}^{-1} \quad \text{and} \quad \lambda^{(0)} = \gamma_{g,5_i} \lambda^{(0)} \gamma_{g,5_i}^{-1}. \quad (2.12)$$

Due to the symplectic nature of $\gamma_{\Omega,5}$ in eq. (2.8), world-sheet parity yields $\text{Sp}(32)$ generators for the gauge group, which will be further reduced by the additional orientifold actions.

Let us now consider the $Z_2 \times Z_2 \times Z_3$ orientifold, first constructed in ref. [11]. Tadpole cancellation implies that we have 32 $D9$ branes and three different sets of 32 $D5$ branes, which we will distinguish by an index i . The $D5_i$ brane fills the 4-dimensional space-time and the torus parametrized by X_i . The Z_3 twist action on the tori is given by the twist vector $v = (\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$ and, both in the $D9$ brane and the $D5_i$ brane sectors, its action on Chan-Paton matrices is generated by:

$$\gamma_{Z_3} = \text{diag} \left(\omega \mathbb{1}_{12}, \mathbb{1}_4, \omega^{-1} \mathbb{1}_{12}, \mathbb{1}_4 \right), \quad \text{where } \omega = e^{2\pi i/3}. \quad (2.13)$$

This choice satisfies eqs. (2.9) and (2.10).

The two Z_2 's act on the compactified coordinates X_i ($i = 1, 2, 3$) in the following way:

$$R_1 : X_1 \rightarrow X_1, \quad X_{2,3} \rightarrow -X_{2,3} \quad \Rightarrow \quad v_{R_1} = \left(0, \frac{1}{2}, \frac{1}{2} \right), \quad (2.14)$$

$$R_2 : X_2 \rightarrow X_2, \quad X_{1,3} \rightarrow -X_{1,3} \quad \Rightarrow \quad v_{R_2} = \left(\frac{1}{2}, 0, \frac{1}{2} \right). \quad (2.15)$$

The corresponding γ -matrices have to fulfill certain group consistency conditions [2]:

$$\gamma_{R_i, \Omega, s}^T = -\mathcal{C}_{i,s} \gamma_{R_i, \Omega, s}, \quad (2.16)$$

$$\gamma_{R_i, \Omega, s} \gamma_{R_j, \Omega, s}^{-1 T} \gamma_{R_k, \Omega, s} \gamma_{\Omega, s}^{-1 T} = \mathcal{C}_{0,s} \mathcal{C}_{3,s} \epsilon_{ijk}, \quad (2.17)$$

$$\gamma_{R_i, \Omega, s} \gamma_{\Omega, s}^{-1 T} \gamma_{R_i, \Omega, s} \gamma_{\Omega, s}^{-1 T} = \mathcal{C}_{0,s} \mathcal{C}_{i,s}, \quad (2.18)$$

$$\gamma_{R_i, s} \gamma_{R_j, s} \gamma_{R_k, s} = -\mathcal{C}_{0,s} \mathcal{C}_{3,s} \epsilon_{ijk}, \quad (2.19)$$

$$\gamma_{R_i, s} \gamma_{R_i, s} = \mathcal{C}_{0,s} \mathcal{C}_{i,s}, \quad (2.20)$$

where $s = 0$ and $s = 1, 2, 3$ denote $D9$ branes and $D5_s$ branes, respectively. The co-cycle $\mathcal{C}_{i,s}$ is equal to -1 for $i = s$ and to $+1$ otherwise. Further, tadpole cancellation implies

$$\text{Tr}(\gamma_{R_i, s}) = \text{Tr}(\gamma_{R_i, s} \gamma_{Z_3}) = 0. \quad (2.21)$$

One set of γ_{R_i} matrices fulfilling these constraints is given in table 1.

Consider now the Chan-Paton matrix for the gauge fields in the $D9$ brane sector. Inserting $\gamma_{Z_3, 0}$ and the $\gamma_{R_i, 0}$ in eq. (2.11) yields the following form for $\lambda^{(0)}$

$$\lambda^{(0)} = \begin{pmatrix} \mathbb{1}_2 \otimes B_1 & 0 & 0 & 0 \\ 0 & \mathbb{1}_2 \otimes B_2 & 0 & i\sigma_2 \otimes S_1 \\ 0 & 0 & -\mathbb{1}_2 \otimes B_1^T & 0 \\ 0 & -i\sigma_2 \otimes S_2 & 0 & -\mathbb{1}_2 \otimes B_2^T \end{pmatrix}. \quad (2.22)$$

9	$\gamma_{R_{1,9}} = \text{diag}(i\sigma_1 \otimes \mathbb{1}_6, i\sigma_1 \otimes \mathbb{1}_2, -i\sigma_1 \otimes \mathbb{1}_6, -i\sigma_1 \otimes \mathbb{1}_2)$
	$\gamma_{R_{2,9}} = \text{diag}(i\sigma_2 \otimes \mathbb{1}_6, i\sigma_2 \otimes \mathbb{1}_2, i\sigma_2 \otimes \mathbb{1}_6, i\sigma_2 \otimes \mathbb{1}_2)$
	$\gamma_{R_{3,9}} = \text{diag}(i\sigma_3 \otimes \mathbb{1}_6, i\sigma_3 \otimes \mathbb{1}_2, -i\sigma_3 \otimes \mathbb{1}_6, -i\sigma_3 \otimes \mathbb{1}_2)$
5 ₁	$\gamma_{R_{1,5_1}} = \text{diag}(i\sigma_1 \otimes \mathbb{1}_6, i\sigma_1 \otimes \mathbb{1}_2, -i\sigma_1 \otimes \mathbb{1}_6, -i\sigma_1 \otimes \mathbb{1}_2)$
	$\gamma_{R_{2,5_1}} = \text{diag}(\sigma_2 \otimes \mathbb{1}_6, \sigma_2 \otimes \mathbb{1}_2, -\sigma_2 \otimes \mathbb{1}_6, -\sigma_2 \otimes \mathbb{1}_2)$
	$\gamma_{R_{3,5_1}} = \text{diag}(\sigma_3 \otimes \mathbb{1}_6, \sigma_3 \otimes \mathbb{1}_2, \sigma_3 \otimes \mathbb{1}_6, \sigma_3 \otimes \mathbb{1}_2)$
5 ₂	$\gamma_{R_{1,5_2}} = \text{diag}(\sigma_1 \otimes \mathbb{1}_6, \sigma_1 \otimes \mathbb{1}_2, \sigma_1 \otimes \mathbb{1}_6, \sigma_1 \otimes \mathbb{1}_2)$
	$\gamma_{R_{2,5_2}} = \text{diag}(i\sigma_2 \otimes \mathbb{1}_6, i\sigma_2 \otimes \mathbb{1}_2, i\sigma_2 \otimes \mathbb{1}_6, i\sigma_2 \otimes \mathbb{1}_2)$
	$\gamma_{R_{3,5_2}} = \text{diag}(\sigma_3 \otimes \mathbb{1}_6, \sigma_3 \otimes \mathbb{1}_2, \sigma_3 \otimes \mathbb{1}_6, \sigma_3 \otimes \mathbb{1}_2)$
5 ₃	$\gamma_{R_{1,5_3}} = \text{diag}(\sigma_1 \otimes \mathbb{1}_6, \sigma_1 \otimes \mathbb{1}_2, \sigma_1 \otimes \mathbb{1}_6, \sigma_1 \otimes \mathbb{1}_2)$
	$\gamma_{R_{2,5_3}} = \text{diag}(-\sigma_2 \otimes \mathbb{1}_6, -\sigma_2 \otimes \mathbb{1}_2, \sigma_2 \otimes \mathbb{1}_6, \sigma_2 \otimes \mathbb{1}_2)$
	$\gamma_{R_{3,5_3}} = \text{diag}(i\sigma_3 \otimes \mathbb{1}_6, i\sigma_3 \otimes \mathbb{1}_2, -i\sigma_3 \otimes \mathbb{1}_6, -i\sigma_3 \otimes \mathbb{1}_2)$

Table 1: Representation of the $Z_2 \times Z_2$ twist matrices in the $D9$ and $D5_i$ brane sectors.

Here, B_1 is a general 6×6 matrix, corresponding to the adjoint representation of $U(6)$. B_2 is a general 2×2 matrix and S_1 and S_2 are symmetric 2×2 matrices, i.e. B_2 , S_1 and S_2 form an adjoint representation of $Sp(4)$.

In the $D5_i$ brane sectors, the Chan-Paton matrices for the gauge fields have a similar structure, i.e. we get three additional copies of the gauge group $U(6) \times Sp(4)$.

2.2 Wilson lines

In order to further break the gauge symmetry we introduce discrete Wilson lines, which can be written as a matrix γ_W acting on the Chan-Paton matrices. A Wilson line along

	$\text{Tr}(\gamma_g \lambda^{(0)})$	$\text{Tr}\left((\gamma_g)^{-1} (\lambda^{(0)})^2\right)$	
γ_g	$U(6)$	$U(6)$	$Sp(4)$
γ_{Z_3}	$2i\sqrt{3}\text{Tr}B_1$	$-2\text{Tr}B_1^2$	$4\text{Tr}(B_2^2 + S_2S_1)$
$\gamma_{Z_3}^2$	$-2i\sqrt{3}\text{Tr}B_1$	$-2\text{Tr}B_1^2$	$4\text{Tr}(B_2^2 + S_2S_1)$

Table 2: Contributions of the different gauge groups to the traces relevant for anomaly cancellation, FI-terms and gauge coupling corrections. B_1 represents a $U(6)$ generator, while B_2 and the S_i generate the $Sp(4)$ (See the detailed explanation after eq. (2.22)). All the other traces, e.g. those involving Z_2 twists γ_{R_i} , vanish.

the two-torus X_i has to satisfy the following algebraic consistency conditions

$$(\gamma_{Z_3}\gamma_W)^3 = +1, \quad (\gamma_{R_j,9}\gamma_W)^2 = -1, \quad (\gamma_{R_j,5_i}\gamma_W)^2 = +1 \quad \text{for } j \neq i. \quad (2.23)$$

These conditions and eqs. (2.19) and (2.20) then imply that γ_W and γ_{R_i} have to commute in the $D9$ and $D5_i$ brane sectors,

$$[\gamma_{R_i,9}, \gamma_W] = 0, \quad [\gamma_{R_i,5_i}, \gamma_W] = 0. \quad (2.24)$$

This is due to the fact that γ_{R_i} does not act on the compactified coordinate X_i (cf. eqs. (2.14) and (2.15)). Further, tadpole cancellation requires

$$\text{Tr}(\gamma_{Z_3}) = \text{Tr}(\gamma_{Z_3}\gamma_W) = \text{Tr}(\gamma_{Z_3}\gamma_W^2) = -4, \quad (2.25)$$

$$\text{Tr}(\gamma_{Z_3}\gamma_W\gamma_{R_j,s}) = \text{Tr}(\gamma_{Z_3}\gamma_W^2\gamma_{R_j,s}) = 0, \quad \text{for } s = 9 \text{ or } 5_i. \quad (2.26)$$

In the following we will restrict ourselves to the case of one Wilson line along the third two-torus. It turns out that eqs. (2.23)–(2.26) allow only one Wilson line (up to equivalent representations) which yields a gauge group containing the standard model as a subgroup:

$$\gamma_W = \text{diag}(W_1, W_2, W_1^{-1}, W_2^{-1}, W_3, W_3^{-1}, W_1^{-1}, W_2^{-1}, W_1, W_2, W_3^{-1}, W_3), \quad (2.27)$$

$$\text{where } W_1 = \text{diag}(\omega, \omega, 1), \quad W_2 = \mathbf{1}_3, \quad W_3 = \text{diag}(\omega, 1). \quad (2.28)$$

Since we place all the $D5$ branes at the origin, this Wilson line acts only in the $D9$ and $D5_3$ brane sectors. The gauge bosons in these two sectors have to obey one additional constraint,

$$\lambda^{(0)} = \gamma_W \lambda^{(0)} \gamma_W^{-1}. \quad (2.29)$$

The generator B_1 of the $U(6)$ in eq. (2.22) therefore gets reduced to

$$B_1 = \begin{pmatrix} \tilde{B}_1 & 0 \\ 0 & \tilde{B}_2 \end{pmatrix}, \quad (2.30)$$

where \tilde{B}_1 and \tilde{B}_2 are general 2×2 and 4×4 matrices, respectively. Hence, the $U(6)$ gets broken down to a $U(4) \times U(2)$. Similarly, the $Sp(4)$ generators B_2 and S_i are reduced to

$$B_2 = \begin{pmatrix} W_1 & 0 \\ 0 & Z_1 \end{pmatrix}, \quad S_1 = \begin{pmatrix} W_2 & 0 \\ 0 & Z_2 \end{pmatrix}, \quad S_2 = \begin{pmatrix} W_3 & 0 \\ 0 & Z_3 \end{pmatrix}. \quad (2.31)$$

Here, the W_i and Z_i generate two $SU(2)$'s.

Sector	Gauge group	Field	Representation
99	$U(4) \times U(2) \times SU(2) \times SU(2)$	$\chi_k^{(0)}$ $\psi_k^{(0)}$ $\eta^{(0)}$ $\tilde{\eta}^{(0)}$	$3 \times (6, 1, 1, 1)(+2, 0)$ $3 \times (\bar{4}, 1, 1, 2)(-1, 0)$ $(1, 1, 1, 1)(0, +2)$ $(1, 2, 2, 1)(0, -1)$
$5_i 5_i$ $i = 1, 2$	$U(6) \times Sp(4)$	$\chi_k^{(i)}$ $\psi_k^{(i)}$	$3 \times (15, 1)(+2)$ $3 \times (\bar{6}, 4)(-1)$
$5_3 5_3$	$U(4) \times U(2) \times SU(2) \times SU(2)$	$\chi_k^{(3)}$ $\psi_k^{(3)}$ $\eta^{(3)}$ $\tilde{\eta}^{(3)}$	$3 \times (6, 1, 1, 1)(+2, 0)$ $3 \times (\bar{4}, 1, 1, 2)(-1, 0)$ $(1, 1, 1, 1)(0, +2)$ $(1, 2, 2, 1)(0, -1)$
95_i $i = 1, 2$	$[U(4) \times U(2) \times SU(2) \times SU(2)]_9$ $\times [U(6) \times Sp(4)]_{5_i}$	$P^{(0i)}$ $Q^{(0i)}$ $R^{(0i)}$ $S^{(0i)}$ $T^{(0i)}$ $U^{(0i)}$	$(1, 2, 1, 1; \bar{6}, 1)(0, +1; +1)$ $(4, 1, 1, 1; \bar{6}, 1)(+1, 0; +1)$ $(1, 2, 1, 1; 1, 4)(0, -1; 0)$ $(\bar{4}, 1, 1, 1; 1, 4)(-1, 0; 0)$ $(1, 1, 2, 1; \bar{6}, 1)(0, 0; -1)$ $(1, 1, 1, 2; \bar{6}, 1)(0, 0; -1)$
95_3	$[U(4) \times U(2) \times SU(2) \times SU(2)]_9$ $\times [U(4) \times U(2) \times SU(2) \times SU(2)]_{5_3}$	$Q^{(03)}$ $S^{(03)}$ $U^{(03)}$	$(4, 1, 1, 1; 4, 1, 1, 1)(+1, 0; +1, 0)$ $(\bar{4}, 1, 1, 1; 1, 1, 1, 2)(-1, 0; 0, 0)$ $(1, 1, 1, 2; \bar{4}, 1, 1, 1)(0, 0; -1, 0)$
$5_1 5_2$	$[U(6) \times Sp(4)]_{5_1} \times [U(6) \times Sp(4)]_{5_2}$	$Q^{(12)}$ $S^{(12)}$ $U^{(12)}$	$(6, 1; \bar{6}, 1)(+1; +1)$ $(\bar{6}, 1; 1, 4)(-1; 0)$ $(1, 4; \bar{6}, 1)(0; -1)$
$5_3 5_i$ $i = 1, 2$	$[U(4) \times U(2) \times SU(2) \times SU(2)]_{5_3}$ $\times [U(6) \times Sp(4)]_{5_i}$	$P^{(3i)}$ $Q^{(3i)}$ $R^{(3i)}$ $S^{(3i)}$ $T^{(3i)}$ $U^{(3i)}$	$(1, 2, 1, 1; \bar{6}, 1)(0, +1; +1)$ $(4, 1, 1, 1; \bar{6}, 1)(+1, 0; +1)$ $(1, 2, 1, 1; 1, 4)(0, -1; 0)$ $(\bar{4}, 1, 1, 1; 1, 4)(-1, 0; 0)$ $(1, 1, 2, 1; \bar{6}, 1)(0, 0; -1)$ $(1, 1, 1, 2; \bar{6}, 1)(0, 0; -1)$

Table 3: The massless spectrum from the open string states. The indices k on the $\chi^{(s)}$ and $\psi^{(s)}$ fields are family indices, i.e. $k = 1, 2, 3$.

In the 5_1 and 5_2 sectors the gauge groups remain unbroken. The action of Wilson lines on the matter states is discussed in Appendix A and the resulting spectrum of the $Z_3 \times Z_2 \times Z_2$ model with one Wilson line is given in table 3.

The tree-level Yukawa superpotential of the massless states reads³

$$\begin{aligned}
\mathcal{W} = & \sum_{s=0,1,2,3} \sum_{i \neq j \neq k} \chi_i^{(s)} \psi_j^{(s)} \psi_k^{(s)} + \chi_i^{(0)} S^{(0i)} S^{(0i)} + \varepsilon_{ijk} \chi_i^{(j)} S^{(jk)} S^{(jk)} + \psi_i^{(0)} Q^{(0i)} U^{(0i)} \\
& + \varepsilon_{ijk} \psi_i^{(j)} Q^{(jk)} U^{(jk)} + \varepsilon_{ij3} \chi_i^{(j)} U^{(3j)} U^{(3j)} + \varepsilon_{ij3} \chi_i^{(j)} T^{(3j)} T^{(3j)} + \varepsilon_{ij3} \psi_i^{(j)} P^{(3j)} R^{(3j)} \\
& + \varepsilon_{ij3} \psi_i^{(j)} Q^{(3j)} S^{(3j)} + \chi_i^{(i)} U^{(0i)} U^{(0i)} + \chi_i^{(i)} T^{(0i)} T^{(0i)} + \psi_i^{(i)} P^{(0i)} R^{(0i)} + \psi_i^{(i)} Q^{(0i)} S^{(0i)} \\
& + \sum_{s=0,3} \left(P^{(si)} R^{(sj)} S^{(ij)} + Q^{(si)} S^{(sj)} S^{(ij)} + T^{(si)} T^{(sj)} Q^{(ij)} + U^{(si)} U^{(sj)} Q^{(ij)} \right), \quad (2.32)
\end{aligned}$$

where summation over repeated indices is understood and we defined $S^{(ij)} \equiv U^{(ji)}$. Further, we have suppressed the actual Yukawa couplings and determined only trilinear couplings. Note, that $\eta^{(s)}$ and $\tilde{\eta}^{(s)}$, the extra matter states from $\lambda^{(3)}$ in the 9 and 5_3 sectors (cf. Appendix A), do not have trilinear couplings.

3. Chern-Simons terms and Anomaly Cancellation

It is obvious from the spectrum that the $U(1)$ factors in various sectors are anomalous at the effective field theory level. Therefore, it is important to check that the Abelian anomalies cancel through the generalized Green-Schwarz mechanism [16].

Before we demonstrate the cancellation of the $U(1)$ anomalies explicitly, we turn to a discussion of the NS-NS sector moduli fields of the $Z_2 \times Z_2 \times Z_3$ orientifold. There are three untwisted sector moduli associated with the scaling deformation of each two-torus. If we denote the complexified bosonic coordinate associated with the J^{th} two-torus as X^J , the three moduli fields are represented by $\partial_z X^J \partial_{\bar{z}} \bar{X}^J$ ($J = 1, 2, 3$) vertices.

The twisted sector moduli fields arise from different twisted sectors and are associated with the fixed points of the $Z_2 \times Z_2 \times Z_3$ orientifold. The twisted sectors are generated by the multiplications of elements of Z_3 ($\{1, \theta \equiv \text{diag}(e^{\frac{2\pi i}{3}}, e^{\frac{2\pi i}{3}}, e^{\frac{2\pi i}{3}}), \theta^2\}$), and the two Z_2 's, generated by $R_1 = \text{diag}(1, -1, -1)$ and $R_2 = \text{diag}(-1, 1, -1)$, respectively. Each sector is specified by a certain number of fixed points (and/or fixed two-tori). However, not all the fixed points in these sectors are in one-to-one correspondence with the physical states, such as blowing-up moduli. Generally, in each sector only particular combinations of blowing up-modes that are invariant under the action of the remaining discrete rotations survive.

³The nonzero terms in the superpotential are determined by gauge invariance and standard techniques of superconformal field theory in the closed superstring sector [15].

γ_g	$\text{Tr}(\gamma_g \lambda^{(0)})$		$\text{Tr}\left((\gamma_g)^{-1} (\lambda^{(0)})^2\right)$			
	U(4)	U(2)	U(4)	U(2)	SU(2)	SU(2)
γ_{Z_3}	$2i\sqrt{3}\text{Tr}\tilde{B}_2$	$2i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$-2\text{Tr}\tilde{B}_1^2$	$4(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{Z_3}^2$	$-2i\sqrt{3}\text{Tr}\tilde{B}_2$	$-2i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$-2\text{Tr}\tilde{B}_1^2$	$4(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{Z_3}\gamma_W$	$2i\sqrt{3}\text{Tr}\tilde{B}_2$	$-i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$\text{Tr}\tilde{B}_1^2$	$-2(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{Z_3}^2\gamma_W$	$-2i\sqrt{3}\text{Tr}\tilde{B}_2$	$i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$\text{Tr}\tilde{B}_1^2$	$-2(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{R_3}\gamma_W$	0	0	0	$-2\sqrt{3}\text{Tr}\tilde{B}_1^2$	$-2\sqrt{3}(W_1^2+W_2W_3)$	0
$\gamma_{Z_3}\gamma_{R_3}\gamma_W$	0	$-3i\text{Tr}\tilde{B}_1$	0	$\sqrt{3}\text{Tr}\tilde{B}_1^2$	$-2\sqrt{3}(W_1^2+W_2W_3)$	0
$(\gamma_{Z_3}\gamma_{R_3})^5\gamma_W$	0	$3i\text{Tr}\tilde{B}_1$	0	$\sqrt{3}\text{Tr}\tilde{B}_1^2$	$-2\sqrt{3}(W_1^2+W_2W_3)$	0
$\gamma_{Z_3}\gamma_W^2$	$2i\sqrt{3}\text{Tr}\tilde{B}_2$	$-i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$\text{Tr}\tilde{B}_1^2$	$-2(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{Z_3}^2\gamma_W^2$	$-2i\sqrt{3}\text{Tr}\tilde{B}_2$	$i\sqrt{3}\text{Tr}\tilde{B}_1$	$-2\text{Tr}\tilde{B}_2^2$	$\text{Tr}\tilde{B}_1^2$	$-2(W_1^2+W_2W_3)$	$4(Z_1^2+Z_2Z_3)$
$\gamma_{R_3}\gamma_W^2$	0	0	0	$2\sqrt{3}\text{Tr}\tilde{B}_1^2$	$2\sqrt{3}(W_1^2+W_2W_3)$	0
$\gamma_{Z_3}\gamma_{R_3}\gamma_W^2$	0	$3i\text{Tr}\tilde{B}_1$	0	$-\sqrt{3}\text{Tr}\tilde{B}_1^2$	$2\sqrt{3}(W_1^2+W_2W_3)$	0
$(\gamma_{Z_3}\gamma_{R_3})^5\gamma_W^2$	0	$-3i\text{Tr}\tilde{B}_1$	0	$-\sqrt{3}\text{Tr}\tilde{B}_1^2$	$2\sqrt{3}(W_1^2+W_2W_3)$	0

Table 4: Contributions of the different gauge groups in the $D9$ and $D5_3$ brane sectors to the traces relevant for anomaly cancellation, FI-terms and gauge coupling corrections for the model with the Wilson line. \tilde{B}_1 and \tilde{B}_2 are the U(2) and U(4) generators and the W_i and Z_i form the SU(2) generators. In the $D5_{1,2}$ brane sectors the traces remain unchanged, i.e. they can be found in table 2.

The blowing-up modes of the Z_3 twisted sectors can be identified in the following way. The θ sector has $27 = 3 \times 3 \times 3$ fixed points, and twisted fields σ_i^J are associated with the i^{th} fixed point ($i = 1, 2, 3$) of the J^{th} two-torus T^2 ($J = 1, 2, 3$). The Z_3 orientifold would have 27 blowing-up moduli fields of the form $\phi_{i,j,k} \equiv \sigma_i^1 \sigma_j^2 \sigma_k^3$, where $(i, j, k) = \{1, 2, 3\}$ run over the three fixed points on each torus. However, the invariance of the states under the two discrete Z_2 -rotations R_1 and R_2 implies that only nine combinations of the original blowing-up modes are physical. Those are (a) the blowing-up mode at the origin, (b) three blowing-up modes that are symmetric combinations of $\phi_{i,j,k}$ with one of the indices being 2 and the other two indices fixed to be 1 and its mirror image under Z_2 twists, (c) three blowing-up modes with a symmetric combinations of $\phi_{i,j,k}$ with two of the indices being 2 and the other index fixed to be 1 and its three mirror images under Z_2 twists and (d) two blowing-up modes which are symmetric and

antisymmetric combinations of $\phi_{i,j,k}$ with $i = j = k = 2$ and its five images under Z_2 twists.

The 16 blowing-up modes of each of the R_i twists are combined to generate 6 physical moduli that are also invariant under the Z_3 action (cf. Appendix B). The order 6 twists θR_i ($i = 1, 2, 3$) each have two blowing-up moduli ($R_3 \equiv R_1 R_2 = (-1, -1, 0)$), which can be seen as follows, using θR_3 as an example. The θR_3 rotation is generated by $\text{diag}(e^{-\frac{2\pi i}{6}}, e^{-\frac{2\pi i}{6}}, e^{\frac{2\pi i}{3}})$. The order-6 twists in both the first and second tori generate one fixed point (at the origin in each of the two-tori). The third torus has three fixed points due to the order-three twist. However, the R_1 and R_2 invariance selects out the two physical modes, $\rho_{1,1,1}$ and $\rho_{1,1,\{2,3\}} \equiv \frac{1}{\sqrt{2}}(\rho_{1,1,2} + \rho_{1,1,3})$, which combines the two states that are mirror pairs under R_1 and R_2 .

3.1 Chern-Simons terms

Anomaly cancellation is ensured by the existence of the Chern-Simons (CS) term of the effective theory [17], which is of the form

$$I_{CS} \sim \sum_k \int d^4x C_k \wedge e^F, \quad (3.1)$$

where C_k are the 2-form Ramond-Ramond moduli fields arising from the k th twisted sector and F is the field strength of the gauge bosons. The first order expansion in F gives the term

$$\sum_k \int d^4x C_k \wedge \text{Tr}(\gamma_k \lambda^{(0)}) F, \quad (3.2)$$

where the coefficient $\text{Tr}(\gamma_k \lambda^{(0)})$ is associated to the orbifold action and the Chan-Paton matrix of the gauge bosons. The second order expansion gives the coupling between the twisted sector RR fields and $F\tilde{F}$, with the prefactor $\text{Tr}((\gamma_k)^{-1} \lambda^{(0)2})$. These two couplings are responsible for the cancellation of field theoretical U(1) anomalies [16].

The particular combinations of RR twisted sector fields that are responsible for U(1) anomaly cancellations require careful study. For example, the explicit trace calculation for the $Z_2 \times Z_2 \times Z_3$ orientifold without Wilson line (table 2) reveals that $\text{Tr}(\gamma_k \lambda^{(0)})$ vanishes for all the twists except those of Z_3 . It implies that the RR twisted moduli that are responsible for anomaly cancellation are from the Z_3 twisted sectors only. For the U(1)'s arising from the $D9$ branes, which extend over all six compactified dimensions, all the physical RR field are involved. Namely, the RR field for anomaly cancellation in the $D9$ brane sector B^9 takes the form

$$B^9 \propto \sum_{i,j,k} \psi_{i,j,k}, \quad (3.3)$$

where $\psi_{i,j,k}$ are the RR partner of the twisted sector moduli $\phi_{i,j,k}$ of Z_3 . For the $D5_3$ branes sitting at the origin, extending over the i th complex coordinate, the relevant RR field for anomaly cancellation B^{5_3} takes the form

$$B^{5_3} \propto \sum_i \psi_{1,1,i} . \quad (3.4)$$

B_{5_1} and B_{5_2} have similar forms. The situation in the model with Wilson line is more complicated and will be discussed later.

3.2 Anomaly Cancellation

The U(1) mixed anomalies in the effective theory are cancelled by the exchange of twisted sector RR fields between the gauge bosons, as discussed in [16]. The amplitude for this process is given by the expression

$$A_{lm}^{\alpha\beta} = \frac{i}{|P|} \sum_k C_k^{\alpha\beta}(v) \text{Tr}(\gamma_k^\alpha \lambda_l^{(0)}) \text{Tr}((\gamma_k^\beta)^{-1} (\lambda_m^{(0)})^2) , \quad (3.5)$$

where $\alpha, \beta = 9, 5_i$ denote the brane sectors from which the U(1) group and the non-Abelian group arise; P is the order of the orbifold group, which for the $Z_2 \times Z_2 \times Z_3$ orientifold takes the value $2 \times (2 \times 2 \times 3) = 24$, and k runs over all the twisted sectors. The factor $C_k^{\alpha\beta}$ arises from string tadpole calculations. In the case of $\alpha = \beta$,

$$C_k^{\alpha\beta}(v) = (-1)^k \prod_{a=1}^3 2 \sin(\pi k v_a) . \quad (3.6)$$

Z_3 action gives $C_1^{\alpha\beta}(v) = -C_2^{\alpha\beta}(v) = -3\sqrt{3}$. If $\alpha = 9$ and $\beta = 5_i$,

$$C_k^{95_i}(v) = (-1)^k 2 \sin(\pi k v_i) , \quad (3.7)$$

which gives $C_1^{95_i}(v) = -C_2^{95_i}(v) = -\sqrt{3}$ for Z_3 . If $\alpha = 5_i$ and $\beta = 5_j$,

$$C_k^{5_i 5_j}(v) = (-1)^k 2 \sin(\pi k v_a) , \quad \text{where } a \neq i \neq j . \quad (3.8)$$

Hence, the order 3 twists of the $Z_2 \times Z_2 \times Z_3$ orientifold give $C_1^{5_i 5_j}(v) = -C_2^{5_i 5_j}(v) = -\sqrt{3}$.

When a background Wilson line is introduced into the world-volume of the $D9$ branes, the fixed points of the orbifold action generally split into different sets, each of which feels different gauge monodromy. The orbifold action on the Chan-Paton matrices is modified accordingly at each fixed point, and the total amplitude A_{lm} of the RR twisted moduli exchange has to be averaged over all the fixed points of the orbifold action [16],

$$A_{lm}^{\alpha\beta} = \frac{i}{|P|} \frac{1}{F} \sum_k \sum_f C_k^{\alpha\beta}(v) \text{Tr}(\gamma_f^\alpha \lambda_l^{(0)}) \text{Tr}((\gamma_f^\beta)^{-1} (\lambda_m^{(0)})^2) , \quad (3.9)$$

where F is the total number of fixed points, f runs over all the fixed points of the k th twisted sector and γ_f is the modified orbifold action at each fixed point due to Wilson line actions. For example, in a Z_3 orientifold model with one Wilson line, the 27 fixed points of the Z_3 action split into 3 sets, each of them feeling different monodromy, γ_{Z_3} , $\gamma_{Z_3}\gamma_W$ and $\gamma_{Z_3}\gamma_W^2$, respectively. In the present model, the situation is even more complicated as we will discuss later.

(i) Anomaly cancellation of the $Z_3 \times Z_2 \times Z_2$ model without Wilson lines

The four U(1)'s from each of the U(6) groups are anomalous. The mixed triangular anomalies between the four U(1)'s and the SU(6) and Sp(4) groups from each brane sector can be easily calculated from the particle spectrum [11]

$$\tilde{A} = \begin{pmatrix} 9 & -9 & 3 & -3 & 3 & -3 & 3 & -3 \\ 3 & -3 & 9 & -9 & 3 & -3 & 3 & -3 \\ 3 & -3 & 3 & -3 & 9 & -9 & 3 & -3 \\ 3 & -3 & 3 & -3 & 3 & -3 & 9 & -9 \end{pmatrix}, \quad (3.10)$$

where the row l labels the U(1) from the $D9$ and $D5_i$ brane sectors and the column m labels the SU(6) and Sp(4) groups. As can be seen from table 2, only Z_3 twisted moduli contribute to the anomaly cancellation process and eq. (3.5) indeed cancels the anomalies exactly. For example, A_{11}

$$A_{11} = \frac{i}{24} \times (-3\sqrt{3}) \times 2 \times [2i\sqrt{3}\text{Tr}B_1^9(-2\text{Tr}(B_1^9)^2)] = -9, \quad (3.11)$$

where we used the standard SU(N) normalization $\text{Tr}B_1^2 = 1/2$ for the SU(6) generator, while the U(1) generators are unnormalized. (We concentrate on the cancellation of mixed anomalies between the U(1) groups and the non-Abelian symmetries, the U(1)³ anomaly and the mixed anomalies between U(1) and gravity are cancelled in a similar way [16].)

(ii) Anomaly cancellation of the $Z_2 \times Z_2 \times Z_3$ model with one Wilson line

The field theoretical anomalies between U(1)'s and the non-Abelian groups in this model can be summarized in the following matrix

$$\tilde{A} = \begin{pmatrix} 6 & 0 & 0 & -6 & 2 & -2 & 2 & -2 & 2 & 0 & 0 & -2 \\ 0 & 1 & -1 & 0 & 1 & -1 & 1 & -1 & 0 & 0 & 0 & 0 \\ 3 & 3 & -3 & -3 & 9 & -9 & 3 & -3 & 3 & 3 & -3 & -3 \\ 3 & 3 & -3 & -3 & 3 & -3 & 9 & -9 & 3 & 3 & -3 & -3 \\ 2 & 0 & 0 & -2 & 2 & -2 & 2 & -2 & 6 & 0 & 0 & -6 \\ 0 & 0 & 0 & 0 & 1 & -1 & 1 & -1 & 0 & 1 & -1 & 0 \end{pmatrix}, \quad (3.12)$$

where the row l denotes U(1) factors from the U(4) and U(2) of the $D9$ brane sector, the U(6)'s of the $D5_{1,2}$ brane sector, and the U(4) and U(2) of the $D5_3$ brane sector. The columns count the non-Abelian groups, the order follows table 3.

The introduction of the Wilson line implies a more involved pattern of anomaly cancellation. In the following we separately address the anomaly cancellation for different sets of ‘‘anomalous’’ U(1)'s.

(1) The anomalies involving the U(1) \subset U(4)

As shown in table 4, non-zero contributions to anomaly cancellation, as specified by eq. (3.9) come *only* from the Z_3 twisted sectors, e.g., in the case of the mixed anomaly U(1) \times SU(4)², where both of the gauge groups are from the 99 sector, eq. (3.9) yields

$$A_{11} = \frac{i}{24} \times \frac{1}{3} \times (-3\sqrt{3}) \times 2 \times [3 \times 2i\sqrt{3}\text{Tr}\tilde{B}_2^9(-2\text{Tr}(\tilde{B}_2^9)^2)] = -6, \quad (3.13)$$

where we have used $C_1^{99} = -C_2^{99} = -3\sqrt{3}$ for the Z_3 twist, and $\text{Tr}\tilde{B}_2 = 4$ for the unnormalized U(1) factor and $\text{Tr}\tilde{B}_2^2 = 1/2$ for the properly normalized SU(4) generator. Similarly, using the results in table 4, we find that all the entries $\tilde{A}_{12} - \tilde{A}_{14}$ are exactly cancelled by A_{lm} . However, for the anomalies U(1) \times G_i^2 , where G_i comes from the $D5_1$ or $D5_2$ branes, the situation is different. Since we assume that the $D5_1$ and $D5_2$ branes sit at the origin of the third two-torus (as well as the origin of the second and first two-tori), they do not feel the action of the Wilson line, which is acting on the third complex plane. Therefore, the result from eq. (3.9) is simply

$$A_{15} = \frac{i}{24} \times (-\sqrt{3}) \times 2 \times 2i\sqrt{3}\text{Tr}\tilde{B}_2^9(-2\text{Tr}(B_1^{5_1})^2) = -2, \quad (3.14)$$

where we have $C_1^{95_i} = -C_1^{95_i} = -\sqrt{3}$ as in the case without Wilson line, and B_1 is the generator for the U(6) group in the $D5_1$ brane sector. Similarly, $\tilde{A}_{16} - \tilde{A}_{18}$ involving the gauge groups Sp(4) (in $D5_1$ brane sector), SU(6) and Sp(4) (in $D5_2$ brane sector) are cancelled. $\tilde{A}_{19} - \tilde{A}_{12}$ involve G_i from the $D5_3$ brane sector, where we again have to take the Wilson line action into account. The cancellation works in the same way as in the case of $\tilde{A}_{11} - \tilde{A}_{14}$. For example,

$$A_{19} = \frac{i}{24} \times \frac{1}{3} \times (-\sqrt{3}) \times 2 \times [3 \times 2i\sqrt{3}\text{Tr}\tilde{B}_2^9(-2\text{Tr}(\tilde{B}_2^{5_3})^2)] = -2. \quad (3.15)$$

(2) Anomalies involving U(1) \subset U(2)

The relevant traces in table 4 show that in the presence of the background Wilson line, not only the Z_3 twisted sectors, but also the order 6 twists generated by $Z_3 \times R_3$ contribute to the anomaly cancellation. The latter, however, comes with different C_k factors. Since the order 6 twist is generated by the action $\text{diag}(e^{-\frac{2\pi i}{6}}, e^{-\frac{2\pi i}{6}}, e^{\frac{2\pi i}{3}})$,

$C_1^{99} = -C_5^{99} = \sqrt{3}$. Hence, A_{22} takes the form

$$\begin{aligned}
A_{22} &= \frac{i}{24} \times \left\{ \frac{1}{3} \times (-3\sqrt{3}) \times 2 \times [2i\sqrt{3}\text{Tr}\tilde{B}_1^9(-2\text{Tr}(\tilde{B}_1^9)^2) \right. \\
&\quad + 2 \times 2i\sqrt{3}\text{Tr}\tilde{B}_1^9(-\text{Tr}(\tilde{B}_1^9)^2)] \\
&\quad \left. + \frac{1}{3} \times (\sqrt{3}) \times 2 \times [2 \times (-3)\text{Tr}\tilde{B}_1^9(i\sqrt{3}\text{Tr}(\tilde{B}_1^9)^2)] \right\} \\
&= -1 .
\end{aligned} \tag{3.16}$$

One sees that among the various contributions to A_{21} , in the Z_3 twisted sectors the contribution from fixed points which do not feel the Wilson line cancel those from fixed points which do feel the Wilson line; while in Z_6 twisted sectors, the contributions from fixed points that feel the Wilson line action cancel between each other. As a net result, $A_{21} = 0$. A_{23} and A_{24} cancel their counterparts from \tilde{A} as well. In cancelling $\tilde{A}_{25} - \tilde{A}_{28}$ in which the non-Abelian group arises from the 5_1 or 5_2 sector, the Wilson line action does not have any effect, thus \tilde{A}_{25} is cancelled by

$$A_{25} = \frac{i}{24} \times (-\sqrt{3}) \times 2 \times 2i\sqrt{3}\text{Tr}\tilde{B}_1^9(-2\text{Tr}(B_1^{5_1})^2) = -1 . \tag{3.17}$$

When G_i comes from the $D5_3$ brane sector, the effects from the Z_6 twists again have to be taken into account. The net result is that the contribution from the Z_3 twists cancels those from the Z_6 twists in such a way that $A_{29} - A_{212} = 0$.

(3) Anomalies involving $\mathbf{U}(1) \subset \mathbf{U}(6)$

Since the $\mathbf{U}(6)$ group arises from the $D5_1$ or $D5_2$ brane sectors, where the Wilson line acts trivially, the cancellation of anomalies of this type is the same as in the original model without Wilson line.

We have also checked explicitly all the $\mathbf{U}(1)^3$ anomalies in the model, and confirmed that they are exactly cancelled by the generalized GS mechanism.

4. Fayet-Iliopoulos terms and gauge coupling corrections

4.1 Fayet-Iliopoulos terms

The supersymmetric completion of the term (3.2) generates the Fayet-Iliopoulos (FI) terms for the associated anomalous $\mathbf{U}(1)$'s.⁴ In the case of $Z_2 \times Z_2 \times Z_3$ orientifold, the FI term of the $\mathbf{U}(1)_A$ in the $D9$ brane sector takes the form

$$\xi_{FI}^9 \sim \int d^4x \text{Tr}(\gamma_{Z_3}\lambda^{(0)}) \sum_{i,j,k=1}^3 \phi_{i,j,k} , \tag{4.1}$$

⁴Note that there could be sigma-model anomaly corrections to the FI-terms [4], proportional to the untwisted sector moduli. (See also Ref. [20].)

where $\phi_{i,j,k}$ are the NS-NS sector moduli arising from the Z_3 twisted sectors, since, as we argued earlier only Z_3 twisted sectors contribute to the anomaly cancellation process. (Notice that we are summing over only half of the twisted sectors.) The FI terms of the anomalous $U(1)$ from the $\mathfrak{5}_1$ branes involve the NS-NS moduli $\phi_{i,1,1}$, those from $\mathfrak{5}_2$ branes involve $\phi_{1,i,1}$, etc.. In the following, we discuss the FI terms when a background Wilson line is added.

(a) The FI term of the $U(1)$'s from $D9$ branes

For the anomalous $U(1) \subset U(4)$,

$$\begin{aligned} \xi_{FI,1}^9 &\sim \sum_{i=1}^3 \sum_{j=1}^3 \left[\text{Tr}(\gamma_{Z_3} \lambda^{(0)}) \phi_{i,j,1} + \text{Tr}(\gamma_{Z_3} \gamma_W \lambda^{(0)}) \phi_{i,j,2} + \text{Tr}(\gamma_{Z_3} \gamma_W^2 \lambda^{(0)}) \phi_{i,j,3} \right] \\ &= (2i\sqrt{3}) \text{Tr} \tilde{B}_2^9 \sum_{i,j,k=1}^3 (\phi_{i,j,k}), \end{aligned} \quad (4.2)$$

where $\phi_{i,j,k}$ are moduli fields from Z_3 twisted sectors and the overall normalization factor has been suppressed. Note that, although the blowing-up modes $\phi_{i,j,k}$ form a convenient basis, they are not physical moduli of Z_3 . As discussed earlier, only a specific combination of nine blowing-up modes is physical.

In the case of $U(1) \subset U(2)$, the order 6 twists also contribute,

$$\begin{aligned} \xi_{FI,2}^9 &\sim \sum_{i=1}^3 \sum_{j=1}^3 \left[\text{Tr}(\gamma_{Z_3} \lambda^{(0)}) \phi_{i,j,1} + \text{Tr}(\gamma_{Z_3} \gamma_W \lambda^{(0)}) \phi_{i,j,2} + \text{Tr}(\gamma_{Z_3} \gamma_W^2 \lambda^{(0)}) \phi_{i,j,3} \right] \\ &\quad + \left[\text{Tr}(\gamma_{Z_3} \gamma_{R3} \lambda^{(0)}) \rho_{1,1,1} + \text{Tr}(\gamma_{Z_3} \gamma_{R3} \gamma_W \lambda^{(0)}) \rho_{1,1,2} + \text{Tr}(\gamma_{Z_3} \gamma_{R3} \gamma_W^2 \lambda^{(0)}) \rho_{1,1,3} \right] \\ &= (i\sqrt{3}) \text{Tr} \tilde{B}_1^9 \sum_{i=1}^3 \sum_{j=1}^3 (2\phi_{i,j,1} - \phi_{i,j,2} - \phi_{i,j,3}) + (-3i) \text{Tr} \tilde{B}_1^9 (\rho_{1,1,2} - \rho_{1,1,3}). \end{aligned} \quad (4.3)$$

(b) The FI terms of the $U(1)$'s from $D5_3$ branes

Since the $\mathfrak{5}_3$ sector is subject to the Wilson line action, the basic forms of the FI terms are the same as in the $D9$ brane sector. However, since $\mathfrak{5}_3$ branes sit at the origin of the 1st and 2nd complex tori, only those moduli with $i = j = 1$ are involved. Hence,

$$\xi_{FI,1}^{5_3} \sim (2i\sqrt{3}) \text{Tr} \tilde{B}_2^{5_3} (\phi_{1,1,1} + \phi_{1,1,2} + \phi_{1,1,3}), \quad (4.4)$$

is the FI term for the $U(1) \subset U(4)$. On the other hand,

$$\xi_{FI,2}^{5_3} \sim (i\sqrt{3}) \text{Tr} \tilde{B}_1^{5_3} (2\phi_{1,1,1} - \phi_{1,1,2} - \phi_{1,1,3}) + (-3i) \text{Tr} \tilde{B}_1^{5_3} (\rho_{1,1,2} - \rho_{1,1,3}), \quad (4.5)$$

gives the FI term for the $U(1) \subset U(2)$.

(c) The FI terms of the U(1)'s that arise from $D5_1$ and $D5_2$ branes

As suggested by the anomaly cancellations, only moduli associated to the Z_3 twists are relevant. Hence, the FI term for the U(1) in the 5_1 brane sector is

$$\xi_{FI}^{5_1} \sim (2i\sqrt{3})\text{Tr}\tilde{B}_1^{5_1}(\phi_{1,1,1} + \phi_{2,1,1} + \phi_{3,1,1}) , \quad (4.6)$$

and for the U(1) from $D5_2$ branes,

$$\xi_{FI}^{5_2} \sim (2i\sqrt{3})\text{Tr}\tilde{B}_1^{5_2}(\phi_{1,1,1} + \phi_{1,2,1} + \phi_{1,3,1}) . \quad (4.7)$$

4.2 Gauge coupling corrections

The gauge kinetic functions receive corrections from the twisted sector blowing-up modes, in a mechanism related to the Chern-Simons term [4, 12, 18, 19]; they have their origin in the second-order corrections, and the proposed form of the corrections [4] was confirmed by recent explicit string calculations [18]. The holomorphic gauge coupling function is of the form:

$$f = S + \delta f(R), \quad (4.8)$$

where S is the (untwisted sector) dilaton for $D9$ brane sector or the untwisted toroidal modulus T_i for $D5_i$ brane sectors. For a Z_N orbifold, the correction can be expressed as

$$\delta f(R) \sim \sum_k \text{Tr}(\gamma_{\theta^k} \lambda^{(0)2}) \sum_{l,m,n} \phi_{l,m,n}, \quad (4.9)$$

where the summation is over half of the twisted sectors, and $\phi_{l,m,n}$ are the NS-NS twisted sector moduli associated with the particular twist. (Again an overall normalization factor is suppressed.) In the case of the $Z_2 \times Z_2 \times Z_3$ model, δf receives non-trivial contributions from Z_3 twists only (cf. table 2), such that

$$\delta f_1^9 \sim -2\text{Tr}(B_2^9)^2 \sum_{i,j,k=1}^3 (\phi_{i,j,k}), \quad (4.10)$$

and

$$\delta f_2^9 \sim 4\text{Tr}((B_2^9)^2 + S_2^9 S_1^9) \sum_{i,j,k}^3 (\phi_{i,j,k}), \quad (4.11)$$

for the SU(6) and Sp(4) groups in the $D9$ brane sector. The corrections take a similar form for gauge groups arising from the $D5_i$ brane sector, except that the summations over i, j, k are replaced by fixed values $j = k = 1$, $k = i = 1$ and $i = j = 1$, respectively.

With the Wilson line, in the $D9$ brane sector, table 4 shows that the gauge coupling of $SU(4)$ receives non-zero corrections, as specified by eq. (4.9), only from Z_3 twists. It takes the form

$$\delta f_1^9 \sim -2\text{Tr}(\tilde{B}_2^9)^2 \sum_{i,j,k=1}^3 (\phi_{i,j,k}), \quad (4.12)$$

while the gauge coupling correction for $SU(2) (\subset U(2))$, gets contributions from order three, *and* order six twisted sectors. It is of the form

$$\delta f_2^9 \sim -\text{Tr}(\tilde{B}_1^9)^2 \sum_{i,j}^3 (2\phi_{i,j,1} - \phi_{i,j,2} - \phi_{i,j,3}) + \sqrt{3}\text{Tr}(\tilde{B}_1^9)^2 (\rho_{1,1,2} - \rho_{1,1,3}). \quad (4.13)$$

Notice that from table 4, the order 2 twist R_3 appears to have a contribution to eq. (4.9) with the relevant traces being non-zero. However, since R_3 acts trivially on the third complex torus, the Wilson line action does not differentiate the moduli of the R_3 twist. Hence, the total contribution from the R_3 sector to δf vanishes after summing over γ_W^k .

Similarly, for the first $SU(2) \equiv \text{Sp}(2)$ group in the $D9$ brane sector one obtains

$$\delta f_3^9 \sim 2((W_1^9)^2 + W_2^9 W_3^9) \sum_{i,j}^3 (2\phi_{i,j,1} - \phi_{i,j,2} - \phi_{i,j,3}) - 2\sqrt{3}((W_1^9)^2 + W_2^9 W_3^9) (\rho_{1,1,2} - \rho_{1,1,3}). \quad (4.14)$$

And the second $SU(2)$ receives the following correction to its gauge kinetic function:

$$\delta f_4^9 \sim 4((Z_1^9)^2 + Z_2^9 Z_3^9) \sum_{i,j,k}^3 (\phi_{i,j,k}). \quad (4.15)$$

The corrections to the gauge couplings in the $D5_3$ brane sector are very similar to those in the $D9$ brane sector, except that the summation over i, j is changed to a fixed value $i = j = 1$.

In the $D5_1$ sector, the correction to the gauge coupling of $SU(6)$ is simply

$$\delta f_1^{51} \sim -2\text{Tr}(B_1^{51})^2 \sum_{i=1}^3 (\phi_{i,1,1}), \quad (4.16)$$

and that of $\text{Sp}(4)$ is

$$\delta f_2^{51} \sim 4\text{Tr}((B_2^{51})^2 + S_2^{51} S_1^{51}) \sum_{i=1}^3 (\phi_{i,1,1}). \quad (4.17)$$

The corrections to the gauge functions in the $D5_2$ brane sector are similar to those in the $D5_1$ sector, except that the moduli fields which are involved are $\phi_{1,i,1}$.

5. Conclusions

We have presented an explicit construction of a $N = 1$ supersymmetric, three family, Type IIB $Z_3 \times Z_2 \times Z_2$ orientifold with a Wilson line that does not commute with the orbifold group. One of the motivations for this construction was to find a model with a gauge group structure that is close to that of the standard model and thus provide an example of a model with potentially quasi-realistic features. Unfortunately, the only examples that are free of non-Abelian anomalies and are consistent with tadpole cancellation, while still containing the SM gauge group as a subgroup, have a gauge group structure of the type $U(4) \times U(2) \times SU(2) \times SU(2) (\times U(4) \times U(2) \times SU(2) \times SU(2) \times (U(6) \times Sp(4))^2)$, and thus its “observable” sector gauge structure is still much larger than that of the SM. (While our study builds on an earlier work [11], we found a different gauge group structure and massless spectrum).

The gauge group $U(4) \times U(2) \times SU(2) \times SU(2)$ can be easily understood in the T-dual picture, in which the Wilson line action on the $D5_3$ branes is dual to splitting the 32 $D5_3$ branes and placing them at various fixed points, while respecting the orbifold symmetries. In the T-dual picture, a set of 20 $D5_3$ branes is placed at the origin, which gives rise to the gauge group $U(4) \times Sp(2)$ when the $Z_3 \times Z_2 \times Z_2$ orbifold actions are imposed. A set of 6 $D5_3$ branes is placed at one of the two fixed points of Z_3 in the third complex two-torus which are away from the origin. Due to the two Z_2 actions, another set of 6 $D5_3$ branes needs to be placed at the other fixed point as the mirror image of the first set of 6. These two sets of 6 $D5_3$ branes yield only one set of physical states and give rise to the gauge group $U(2) \times Sp(2)$ under the additional Z_3 orbifold action.

In addition to obtaining the gauge group structure, the massless spectrum and the trilinear superpotential, we carried out the explicit calculation of the Abelian anomaly cancellations, employing a generalization of the Green-Schwarz mechanism (as proposed in ref. [16] and confirmed in ref. [18]). In particular we emphasized subtleties associated with “anomalous” $U(1)$ ’s of $U(4)$ and $U(2)$ group factors, which are due to the non-trivial role non-Abelian Wilson lines are playing in the anomaly cancellation. In addition, we also calculated the FI-terms and the gauge coupling corrections due to the blowing-up modes, thus setting a stage for further investigations of the physics implications of this type of models.

The techniques we have employed in order to obtain a specific anomaly free three family model can be easily applied to the study of a broader class of Type IIB orientifolds, i.e. models with other orbifold groups, and with a more general form of the non-Abelian Wilson lines. In addition, a systematic formulation of the consistency constraints for this class of string solutions is clearly an important question, and deserves further study.

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A. The matter fields

99-sector:

Like for the gauge fields, the Chan-Paton matrices of the matter states have to be invariant under the action of the orientifold group. Since the world-sheet states of the matter fields are not invariant under the orientifold action, eqs. (2.2) and (2.3) now imply that

$$\lambda^{(i)} = -\gamma_{\Omega,9} \lambda^{(i)\text{T}} \gamma_{\Omega,9}^{-1} \quad \text{and} \quad \lambda^{(i)} = e^{2i\pi v_i} \gamma_{g,9} \lambda^{(i)} \gamma_{g,9}^{-1}. \quad (\text{A.1})$$

5_i5_i-sector

Similarly, if open strings begin and end on $D5_i$ branes, the Chan-Paton matrices have to obey the following constraints:

$$\lambda^{(i)} = -\gamma_{\Omega,5} \lambda^{(i)\text{T}} \gamma_{\Omega,5}^{-1}, \quad \lambda^{(i)} = e^{2i\pi v_i} \gamma_{g,5_i} \lambda^{(i)} \gamma_{g,5_i}^{-1}, \quad (\text{A.2})$$

$$\lambda^{(j)} = +\gamma_{\Omega,5} \lambda^{(j)\text{T}} \gamma_{\Omega,5}^{-1}, \quad \lambda^{(j)} = e^{2i\pi v_j} \gamma_{g,5_i} \lambda^{(j)} \gamma_{g,5_i}^{-1}, \quad \text{for } i \neq j. \quad (\text{A.3})$$

The sign change in the world-sheet parity projection in the last line stems from the DD boundary conditions in the $j \neq i$ directions transverse to the $D5_i$ branes.

95_i-sectors:

Further, one can have mixed states where the open strings begin and end on different branes. In the 95_i case, the coordinates obey mixed DN boundary conditions, i.e. they have half-integer modings. The states can be written as $|s_j, s_k, ab\rangle \lambda_{ab}$, $j, k \neq i$, with helicities $s_j, s_k = \pm \frac{1}{2}$, and the Chan-Paton index a (b) lies on a $D5$ brane ($D9$ brane). Due to the GSO projection one has $s_j = s_k$. The orientifold projections now imply

$$\lambda = e^{2\pi i(v_j s_j + v_k s_k)} \gamma_{g,5_i} \lambda \gamma_{g,9}^{-1}. \quad (\text{A.4})$$

The world-sheet parity operation Ω only relates 95_i and 5_i9 sectors and does not yield any additional constraints.

5_j5_i-sectors:

Finally, the matter states in mixed 5_j5_i-sectors are determined by:

$$\lambda = e^{2\pi i(v_i s_i + v_j s_j)} \gamma_{g,5_i} \lambda \gamma_{g,5_j}^{-1}. \quad (\text{A.5})$$

As an example, consider the $Z_2 \times Z_2 \times Z_3$ orientifold discussed in the main text. In the 99-sector the matter Chan-Paton matrices have to satisfy

$$\lambda^{(1)} = e^{2i\pi/3} \gamma_{Z_3,9} \lambda^{(1)} \gamma_{Z_3,9}^{-1}, \quad \lambda^{(1)} = +\gamma_{R_1,9} \lambda^{(1)} \gamma_{R_1,9}^{-1}, \quad \lambda^{(1)} = -\gamma_{R_2,9} \lambda^{(1)} \gamma_{R_2,9}^{-1} \quad (\text{A.6})$$

$$\lambda^{(2)} = e^{2i\pi/3} \gamma_{Z_3,9} \lambda^{(2)} \gamma_{Z_3,9}^{-1}, \quad \lambda^{(2)} = -\gamma_{R_1,9} \lambda^{(2)} \gamma_{R_1,9}^{-1}, \quad \lambda^{(2)} = +\gamma_{R_2,9} \lambda^{(2)} \gamma_{R_2,9}^{-1} \quad (\text{A.7})$$

$$\lambda^{(3)} = e^{2i\pi/3} \gamma_{Z_3,9} \lambda^{(3)} \gamma_{Z_3,9}^{-1}, \quad \lambda^{(3)} = -\gamma_{R_1,9} \lambda^{(3)} \gamma_{R_1,9}^{-1}, \quad \lambda^{(3)} = -\gamma_{R_2,9} \lambda^{(3)} \gamma_{R_2,9}^{-1} \quad (\text{A.8})$$

Imposing these constraints yields, e.g. for $\lambda^{(1)}$

$$\lambda^{(1)} = \begin{pmatrix} 0 & 0 & \sigma_3 \otimes A & 0 \\ \sigma_1 \otimes M_1 & 0 & 0 & 0 \\ 0 & -\sigma_3 \otimes M_2^T & 0 & -\sigma_1 \otimes M_1^T \\ \sigma_3 \otimes M_2 & 0 & 0 & 0 \end{pmatrix}, \quad (\text{A.9})$$

where A is an antisymmetric 6×6 matrix and M_1 and M_2 are general 2×6 matrices. Under the gauge group $U(6) \times Sp(4)$, A transforms as $(15, 1)_{+2}$, while M_1 and M_2 form a $(\bar{6}, 4)_{-1}$, where the subscripts $+2$ and -1 denote the $U(1)$ charges of the states. $\lambda^{(2)}$ and $\lambda^{(3)}$ have a similar structure, i.e. we get three copies of each of these states [11].

Introducing a Wilson line γ_W yields an additional constraint

$$\lambda^{(i)} = \gamma_W \lambda^{(i)} \gamma_W^{-1}, \quad (\text{A.10})$$

for those states that feel the Wilson line action. In the case of one Wilson line along the third two-torus, considered in the main text, this would only affect states in the 99-, $5_3 5_3$ - and $9_5 3$ -sectors, since we assumed that all the $D5$ branes are located at the fixed point at the origin, where the Wilson line is not active.

For example, in the case of $\lambda^{(1)}$ from the 99-sector the matrices A , M_1 and M_2 from eq. (A.9) get reduced to

$$A = \begin{pmatrix} 0 & 0 \\ 0 & \tilde{A} \end{pmatrix}, \quad M_1 = \begin{pmatrix} 0 & 0 \\ 0 & \tilde{M}_1 \end{pmatrix}, \quad M_2 = \begin{pmatrix} 0 & 0 \\ 0 & \tilde{M}_2 \end{pmatrix}, \quad (\text{A.11})$$

where \tilde{A} is an antisymmetric 4×4 matrix and \tilde{M}_1 and \tilde{M}_2 are general 4-dimensional line-vectors. Under the gauge group $U(4) \times U(2) \times SU(2) \times SU(2)$, \tilde{A} transforms as $(6, 1, 1, 1)(+2, 0)$ and $(\tilde{M}_1, \tilde{M}_2)$ form a $(\bar{4}, 1, 1, 2)(-1, 0)$, where the second set of brackets contains the $U(1)$ charges of the fields.

A novel feature of this Wilson line action is that now the three matter Chan-Paton matrices in the 99- and $5_3 5_3$ -sectors yield different matter states. Consider $\lambda^{(3)}$ from the 99-sector. In the absence of Wilson lines it will give the same matter states A , M_1 and M_2 as $\lambda^{(1)}$ (cf. eq. (A.9)). However, Wilson line action on $\lambda^{(3)}$ projects out fewer states than in the case of $\lambda^{(1)}$ and $\lambda^{(2)}$ and the surviving fields read

$$A = \begin{pmatrix} \tilde{A}_2 & 0 \\ 0 & \tilde{A} \end{pmatrix}, \quad M_1 = \begin{pmatrix} \tilde{M}_3 & 0 \\ 0 & \tilde{M}_1 \end{pmatrix}, \quad M_2 = \begin{pmatrix} \tilde{M}_4 & 0 \\ 0 & \tilde{M}_2 \end{pmatrix}, \quad (\text{A.12})$$

where, in addition to the states $(6, 1, 1, 1)(+2, 0)$ and $(\bar{4}, 1, 1, 2)(-1, 0)$ discussed above, we have an antisymmetric 2×2 matrix \tilde{A}_2 , corresponding to a $(1, 1, 1, 1)(0, +2)$, and two-dimensional line vectors \tilde{M}_3 and \tilde{M}_4 which form a $(1, 2, 2, 1)(0, -1)$.

B. Blowing-up modes from the Z_2 twisted sectors in $Z_3 \times Z_2 \times Z_2$ orbifolds

The physical moduli arising from each of the three Z_2 twisted sectors have a similar form, we present the explicit result for the R_3 twist only. Let us denote the twist fields associated with the four ($i = 1, 2, 3, 4$) fixed points of the first two two-tori ($J = 1, 2$) under R_3 action as Σ_i^J . The blowing-up modes of the total 16 fixed points are represented by the fields $\omega_{i,j} = \Sigma_i^1 \Sigma_j^2$. However, only the following six combinations of $\omega_{i,j}$ are invariant under the Z_3 rotation:

$$\omega_{1,1} , \tag{B.1}$$

$$\omega_{\{\{2,3,4\},\{2,3,4\}\}^*} \equiv \frac{1}{3}(\Sigma_2^1 + e^{\frac{2\pi i}{3}} \Sigma_3^1 + e^{\frac{4\pi i}{3}} \Sigma_4^1)(\Sigma_2^2 + e^{\frac{4\pi i}{3}} \Sigma_3^2 + e^{\frac{2\pi i}{3}} \Sigma_4^2) , \tag{B.2}$$

$$\omega_{\{\{2,3,4\}\}^*,\{2,3,4\}} \equiv \frac{1}{3}(\Sigma_2^1 + e^{\frac{4\pi i}{3}} \Sigma_3^1 + e^{\frac{2\pi i}{3}} \Sigma_4^1)(\Sigma_2^2 + e^{\frac{2\pi i}{3}} \Sigma_3^2 + e^{\frac{4\pi i}{3}} \Sigma_4^2) , \tag{B.3}$$

$$\omega_{\{2,3,4\},1} \equiv \frac{1}{\sqrt{3}}(\Sigma_2^1 + \Sigma_3^1 + \Sigma_4^1)\Sigma_1^2 , \tag{B.4}$$

$$\omega_{1,\{2,3,4\}} \equiv \frac{1}{\sqrt{3}}\Sigma_1^1(\Sigma_2^2 + \Sigma_3^2 + \Sigma_4^2) , \tag{B.5}$$

$$\omega_{\{2,3,4\},\{2,3,4\}} \equiv \frac{1}{3}(\Sigma_2^1 + \Sigma_3^1 + \Sigma_4^1)(\Sigma_2^2 + \Sigma_3^2 + \Sigma_4^2) . \tag{B.6}$$

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